Deposition of: Dr. Matthew Hermes, Vol. II

July 25, 2006

RET-JAPAN	Page 252
1	UNITED STATES DISTRICT COURT
2	DISTRICT OF MASSACHUSETTS
3	C.A. NO. 04-12457 PBS
4	x
5	DePUY-MITEK, INC.,
6	A Massachusetts Corporation,
7	Plaintiff,
8	READ & SIGN
9	ARTHREX, INC.,
10	A Delaware Corporation,
11	Defendants.
1 ₁₂	X
ı 13	DAY 2 OF 2
14	CONTINUED VIDEOTAPED DEPOSITION
15	OF DR. MATTHEW HERMES
16	Philadelphia, Pennsylvania
1.7	July 25, 2006
18	
19	
20	Reported by:
21	
22	PAMELA HARRISON, RMR, CRR, CSR
23	
24	
25	

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	Page 333 the left deposition. 12:59:24p	1	Page 335 your — you made them the first time you reviewed 01:01:49p
1	the last deposition	2	the report, if I understood your answer 01:01:51p
2	V. Mili-mini:	3	correctly? 01:01:53p
3	Q. Are you lammar with the second	4	MR. BONELLA: Object to form. 01:01:54p
4	12.50.20m	5	THE WITNESS: 1 believe so, but 01:01:55p
5	73. 1 min. 12.50.30n	6	I'm not certain. 01:02:02p
6	Q. 11 Hat 25 Ac.	7	BY MR. SABER: 01:02:05p
7	A. This is — wen, let me mate	8	Q. Okay. I want to ask you about near - 01:02:05p
8	- lef life go miodign it to make see a	9	on the bottom there you have numbers one, two, 01:02:09p
9	(Witness reviewing documents) Am most	10	three, and four? 01:02:11p
10	CERTAIN AS TO WHAT THE GOODING	11	A. Yes, sir. 01:02:12p
11	Q. Have you seem to believe	12	Q. I want to ask you about number three, 01:02:12p
12	A. I ve seen the Home page	13	if I could, please. Could you read the first 01:02:14p
13	the real page, but I'm - I don't be a series and a series	14	sentence there, just to make sure that it's - 01:02:19p
14	I doll (lecan transmit compet are seen a	15	A. This is my note, Mr. Saber, is that 01:02:22p
15	document as such.	16	right? 01:02:24p
16	Did i do mai:	17	Q. Yes, sir. 01:02:24p
17	Or This is last what was brong to any	18	A. You want me to read my note. 01:02:25p
18	II 8	19	O. Yes, sir. The first sentence. 01:02:27p
19	A. Okay, Inic. Okay, 1210	20	A. I'll be glad to. 01:02:28p
20	11 (112) 13 (113) 13 11-11-11	21	"446 '446 teachings on 01:02:29p
21	that I handed to my counter, district	22	offsetting properties of yarn A with yarn B may 01:02:45p
22	O. Web, generally what is at a second	23	seem to teach away from ultra high molecular - 01:02:50p
23	Aou did know must the inst base piece in an and an and an and an	24	UHMWPE, but the critical principle is mixing 01:02:57p
24	the first page? 01:00:43p A. What this is, is some notes that I - 01:00:44p	25	yarns and getting better than accepted 01:03:04p
) —	Page 334		Page 336
1	MR. BONELLA: Object. 1 think 01:00:46p	1	properties." 01:03:08p
2	you just mischaracterized what he said. 01:00:47p	2	Q. When you used the - 01:03:10p
3	But go ahead. 01:00:49p	3	A. I'm not finished. 01:03:12p
4	THE WITNESS: If your question 01:00:51p	4	Q. Okay. I'm sorry, sir. 01:03:13p
5	is what is this first page, this is a copy of 01:00:53p	5	A. It doesn't - I'm not finished. 01:03:14p
6	Dr. Mukherjee's expert report and some notes 01:00:59p	6	"It doesn't LIMIT," in capital 01:03:18p
1 7	that I made regarding it when I initially 01:01:03p	7	letters, "A, strength, or B, lubricity, just 01:03:20p
8	received it. 01:01:08p	8	suggests it." 01:03:27p
وا	BY MR. SABER: 01:01:09p	9	Q. When you used the nomenclature I think 01:03:27p
10	Q. Is the handwritten – there's some 01:01:09p	10	you said UHMWPE? 01:03:32p
11	bandwritten materials on this page? 01:01:12p	11	A. Yes. 01:03:35p
12	Ω1.01.16m	12	
13		13	weight PE? 01:03:37p
14	a	14	
15		15	weight polyethylene, yes. 01:03:39p
16	01·01·73n	16	
17	01.01.24n	17	
18	01.01.70-	18	lubricity, what did you mean by that? 01:03:50p
19	01,01,20m	19	A. I meant — I meant specifically that 01:03:51p
20	01.01.21%	20	the teachings in the preferred embodiment in 01:03:54p
21	01-01-33n	21	
22	01.01.24-	22	
22	notes: 01:01:26n	23	embodiment discussing PTFE, that in which we 01:04:05p
24	A. Thate blese notes 100 A. 01:01:43p	24	talk about the strength of the braid and the 01:04:11p
25	19/2010 Out. 11000013 1120001 11200001 11200001 11200001 11200001 11200001 11200001 11200001 11200001 11200001 11200001 11200001 11200001 11200001 11200001 11200001 11200001 11200001 11200001 11200001 112000001 112000001 112000001 112000001 112000001 1120000001 112000001 11200000000	25	relationship of strength to the braid, that that 01:04:17p
73	A. With last transfer and the state of the s	1	

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	Page 337			Page 339
		j	as you've done. 01:07:19	
1	may seem to be of may master than	2	Q. Well, the beginning part of your note	01:07:22p
2	ulat ulat 5 a description of the	3	says '246 teachings - '446 teachings are	01:07:24p
3	invention, where it is not, where it is	4	offsetting properties of yarn A with yarn B,	01:07:30р
4	Oilly to the preferred outpeasure	5	correct? 01:07:31p	1
5	O. I Wall to make sure I discourse	6	A. That's what the note says, yes. 01	:07:33p
6	answer. Could you go back and	7	Q. Is it your testimony that you're only	01:07:34p
7	patent? 01:04:41p	8	referring to one embodiment by that note?	01:07:36p
8	A. Of course. 01:04:45p		A. Yes, it is. 01:07:39p	
9	Q. When you talked about just suggests 01:94:57p	9	Q. You weren't talking generally about	01:07:40р
10	it, were you talking about in Column 4, the 01:05:00p	10	the patent? 01:07:41	ը -
11	Paragraph 9 through 31 which talks about 01:05:03p	11	the hatent.	:07:42p
12	lubricity and then Paragraph 33 through 40 that 01:05:06p	L	M. 140, 1 445 mitchig about a	•
13	talks about strength - 01:05:11p	13	Chipochia	01:07:46р
14	MR. BONELLA: Object to the form. 01:05:12p	14	Q. When you say, critical principle is	01:07:52p
15	BY MR. SABER: 01:05:13p	15	mixing yarns and getting better than accepted	01:07:54p
16	Q in the most preferred embodiments 01:05:13p	16	properties, you were only talking about one	01:07:56p
17	that you were talking about? 01:05:15p	17	embodiment; is that your testimony?	01:07:50p 01:07:59p
18	MR. BONELLA: Object to form. 01:05:17p	18	MR. BONELLA: I object to form.	•
19	Mischaracterizes testimony. 01:05:18p	19	It inischaracterized when it just a	01:08:00p
20	THE WITNESS: No, I was speaking 01:05:46p	20	THE WITNESS: I was speaking of	01:08:17p
1	in terms of the most preferred embodiment 01:05:47p	21	the whole patent there. 01:08	-
21 22	starting on Page 41 — starting on Line 41 — 01:05:52p	22	D1 IMC SIDDIC	08:19p
	please correct that Line 41 of Column 4. 01:05:59p	23	Q. Okay. Well, is just the back part of	01:08:19p
23	BY MR. SABER: 01:06:07p	24	the sentence talking about the whole patent, or	01:08:22p
24 25	Q. That's what you think that note 01:06:07p	25	the whole sentence talking about the whole	·01:08:24p
1	Page 338			Page 340
1 2	referred to, and not to the paragraphs above? 01:06:09p	1 2	patent? 01:08:26p MR. BONELLA: Objection. Asked	01:08:27p
2	referred to, and not to the paragraphs above? 01:06:09p MR. BONELLA: Objection. Asked 01:06:11p	1	MR. BONELLA: Objection. Asked and answered. 01:08:28	01:08:27p
2 3	referred to, and not to the paragraphs above? 01:06:09p MR. BONELLA: Objection. Asked 01:06:11p and answered. 01:06:12p	1 2 3 4	MR. BONELLA: Objection. Asked and answered. 01:08:28 THE WITNESS: The back part of	01:08:27p p 01:08:34p
3 4	referred to, and not to the paragraphs above? 01:06:09p MR. BONELLA: Objection. Asked 01:06:11p and answered. 01:06:12p BY MR. SABER: 01:06:13p	1 2 3	MR. BONELLA: Objection. Asked and answered. THE WITNESS: The back part of the sentence is talking about the whole patent.	01:08:27p p 01:08:34p 01:08:35p
2 3 4 5	referred to, and not to the paragraphs above? MR. BONELLA: Objection. Asked 01:06:11p and answered. 01:06:12p BY MR. SABER: 01:06:13p Q. Is that right? 01:06:13p	1 2 3 4	MR. BONELLA: Objection. Asked and answered. 01:08:28 THE WITNESS: The back part of the sentence is talking about the whole patent. BY MR. SABER: 01:08	01:08:27p p 01:08:34p 01:08:35p 8:36p
2 3 4 5 6	referred to, and not to the paragraphs above? 01:06:09p MR. BONELLA: Objection. Asked 01:06:11p and answered. 01:06:12p BY MR. SABER: 01:06:13p Q. Is that right? 01:06:13p A. Yes, that's correct. 01:06:14p	1 2 3 4 5	MR. BONELLA: Objection. Asked and answered. 01:08:28 THE WITNESS: The back part of the sentence is talking about the whole patent. BY MR. SABER: 01:08 Q. I just want to make sure I understand	01:08:27p p 01:08:34p 01:08:35p 8:36p 01:08:36 p
2 3 4 5 6 7	referred to, and not to the paragraphs above? MR. BONELLA: Objection. Asked 01:06:11p and answered. BY MR. SABER: 01:06:13p Q. Is that right? A. Yes, that's correct. Q. You don't say PTFE and PET in your 01:06:17p	1 2 3 4 5 6	MR. BONELLA: Objection. Asked and answered. 01:08:28 THE WITNESS: The back part of the sentence is talking about the whole patent. BY MR. SABER: 01:08 Q. I just want to make sure I understand your testimony. The first part of the patent,	01:08:27p p 01:08:34p 01:08:35p 8:36p 01:08:36p 01:08:38p
2 3 4 5 6 7 8	referred to, and not to the paragraphs above? MR. BONELLA: Objection. Asked 01:06:11p and answered. BY MR. SABER: 01:06:13p Q. Is that right? A. Yes, that's correct. Q. You don't say PTFE and PET in your note, do you, sir? 01:06:21p	1 2 3 4 5 6 7	MR. BONELLA: Objection. Asked and answered. 01:08:28 THE WITNESS: The back part of the sentence is talking about the whole patent. BY MR. SABER: 01:08 Q. I just want to make sure I understand your testimony. The first part of the patent, '446 teachings are offsetting properties of yarn	01:08:27p p 01:08:34p 01:08:35p 8:36p 01:08:36p 01:08:38p 01:08:41p
2 3 4 5 6 7 8 9	referred to, and not to the paragraphs above? MR. BONELLA: Objection. Asked 01:06:11p and answered. 01:06:12p BY MR. SABER: 01:06:13p Q. Is that right? A. Yes, that's correct. Q. You don't say PTFE and PET in your note, do you, sir? A. No, I don't say PTFE in my note. 01:06:28p	1 2 3 4 5 6 7 8	MR. BONELLA: Objection. Asked and answered. 01:08:28 THE WITNESS: The back part of the sentence is talking about the whole patent. BY MR. SABER: 01:08 Q. I just want to make sure I understand your testimony. The first part of the patent, '446 teachings are offsetting properties of yarn A with yarn B may seem to teach away from ultra	01:08:27p p 01:08:34p 01:08:35p 8:36p 01:08:36p 01:08:38p 01:08:41p a 01:08:45p
2 3 4 5 6 7 8 9	referred to, and not to the paragraphs above? MR. BONELLA: Objection. Asked 01:06:11p and answered. 01:06:12p BY MR. SABER: 01:06:13p 01:06:13p Q. Is that right? 01:06:13p A. Yes, that's correct. 01:06:14p Q. You don't say PTFE and PET in your note, do you, sir? A. No, I don't say PTFE in my note. Q. Or PET? 01:06:32p 01:06:32p	1 2 3 4 5 6 7 8 9	MR. BONELLA: Objection. Asked and answered. THE WITNESS: The back part of the sentence is talking about the whole patent. BY MR. SABER: O1:08:28 THE WITNESS: The back part of the sentence is talking about the whole patent. BY MR. SABER: O1:08 Q. I just want to make sure I understand your testimony. The first part of the patent, '446 teachings are offsetting properties of yarn A with yarn B may seem to teach away from ultrahigh molecular weight PE, refers only to Column	01:08:27p p 01:08:34p 01:08:35p 8:36p 01:08:36p 01:08:38p 01:08:41p a 01:08:45p 01:08:50p
2 3 4 5 6 7 8 9 10	referred to, and not to the paragraphs above? MR. BONELLA: Objection. Asked 01:06:11p and answered. 01:06:12p BY MR. SABER: 01:06:13p Q. Is that right? A. Yes, that's correct. Q. You don't say PTFE and PET in your note, do you, sir? A. No, I don't say PTFE in my note. Q. Or PET? A. No, I don't. 01:06:31p 01:06:31p	1 2 3 4 5 6 7 8 9	MR. BONELLA: Objection. Asked and answered. THE WITNESS: The back part of the sentence is talking about the whole patent. BY MR. SABER: O1:08:28 Q. I just want to make sure I understand your testimony. The first part of the patent, '446 teachings are offsetting properties of yarn A with yarn B may seem to teach away from ultrahigh molecular weight PE, refers only to Column 4, Lines 41 to 59 — 01:08:28	01:08:27p p 01:08:34p 01:08:35p 8:36p 01:08:36p 01:08:38p 01:08:41p a 01:08:45p 01:08:50p
2 3 4 5 6 7 8 9 10 11 12	referred to, and not to the paragraphs above? MR. BONELLA: Objection. Asked 01:06:11p and answered. 01:06:12p BY MR. SABER: 01:06:13p Q. Is that right? 01:06:13p A. Yes, that's correct. 01:06:14p Q. You don't say PTFE and PET in your 01:06:21p note, do you, sir? 01:06:21p A. No, I don't say PTFE in my note. 01:06:31p A. No, I don't. 01:06:32p Q. You'd say strength and lubricity, 01:06:33p	1 2 3 4 5 6 7 8 9 10	MR. BONELLA: Objection. Asked and answered. O1:08:28 THE WITNESS: The back part of the sentence is talking about the whole patent. BY MR. SABER: O1:08:28 O1:08:28 THE WITNESS: The back part of the sentence is talking about the whole patent. BY MR. SABER: O1:08:40 Value of the patent, and other patent, and ot	01:08:27p p 01:08:34p 01:08:35p 8:36p 01:08:36p 01:08:38p 01:08:41p a 01:08:50p 54p 01:08:59p
2 3 4 5 6 7 8 9 10 11 12 13	referred to, and not to the paragraphs above? MR. BONELLA: Objection. Asked and answered. BY MR. SABER: Q. Is that right? A. Yes, that's correct. Q. You don't say PTFE and PET in your note, do you, sir? A. No, I don't say PTFE in my note. Q. Or PET? A. No, I don't. Q. You'd say strength and lubricity, correct? Is that correct? 01:06:09p 01:06:12p 01:06:13p 01:06:14p 01:06:21p 01:06:22p 01:06:32p 01:06:33p	1 2 3 4 5 6 7 8 9 10 11	MR. BONELLA: Objection. Asked and answered. 01:08:28 THE WITNESS: The back part of the sentence is talking about the whole patent. BY MR. SABER: 01:08 Q. I just want to make sure I understand your testimony. The first part of the patent, '446 teachings are offsetting properties of yarn A with yarn B may seem to teach away from ultrahigh molecular weight PE, refers only to Column 4, Lines 41 to 59 — 01:08:50 MR. BONELLA: Objection. Asked and answered. He already — 01:	01:08:27p p 01:08:34p 01:08:35p 8:36p 01:08:36p 01:08:38p 01:08:41p a 01:08:50p 54p 01:08:59p
2 3 4 5 6 7 8 9 10 11 12 13 14	referred to, and not to the paragraphs above? MR. BONELLA: Objection. Asked and answered. BY MR. SABER: Q. Is that right? A. Yes, that's correct. Q. You don't say PTFE and PET in your note, do you, sir? A. No, I don't say PTFE in my note. Q. Or PET? A. No, I don't. Q. You'd say strength and lubricity, Correct? Is that correct? A. I say that it doesn't LIMIT, in 01:06:12p 01:06:13p 01:06:13p 01:06:14p 01:06:21p 01:06:22p 01:06:32p 01:06:33p	1 2 3 4 5 6 7 8 9 10 11 12 13	MR. BONELLA: Objection. Asked and answered. THE WITNESS: The back part of the sentence is talking about the whole patent. BY MR. SABER: O1:08 Q. I just want to make sure I understand your testimony. The first part of the patent, '446 teachings are offsetting properties of yarn A with yarn B may seem to teach away from ultrahigh molecular weight PE, refers only to Column 4, Lines 41 to 59 — MR. BONELLA: Objection. Asked and answered. He already — O1:08:10 O1:09 O1:08:28 O1:	01:08:27p p 01:08:34p 01:08:35p 8:36p 01:08:36p 01:08:41p a 01:08:45p 01:08:50p 54p 01:08:59p 08:59p 9:00p
2 3 4 5 6 7 8 9 10 11 12 13 14 15	referred to, and not to the paragraphs above? MR. BONELLA: Objection. Asked and answered. BY MR. SABER: Q. Is that right? Q. You don't say PTFE and PET in your note, do you, sir? A. No, I don't say PTFE in my note. Q. Or PET? A. No, I don't. Q. You'd say strength and lubricity, Correct? Is that correct? A. I say that it doesn't LIMIT, in capitals, strength and lubricity. 01:06:09p 01:06:11p 01:06:13p 01:06:14p 01:06:21p 01:06:32p 01:06:32p 01:06:32p 01:06:32p 01:06:32p 01:06:32p 01:06:32p	1 2 3 4 5 6 7 8 9 10 11 12 13 14	MR. BONELLA: Objection. Asked and answered. THE WITNESS: The back part of the sentence is talking about the whole patent. BY MR. SABER: O1:08 Q. I just want to make sure I understand your testimony. The first part of the patent, '446 teachings are offsetting properties of yarn A with yarn B may seem to teach away from ultrhigh molecular weight PE, refers only to Column 4, Lines 41 to 59 — MR. BONELLA: Objection. Asked and answered. He already — BY MR. SABER: O1:08:28 O1:08:28 O1:08:28 O1:08:28 O1:08:28 O1:08:29 O1:08:29 O1:08:20 O1:08:	01:08:27p p 01:08:34p 01:08:35p 8:36p 01:08:36p 01:08:41p a 01:08:45p 01:08:50p 54p 01:08:59p 08:59p 9:00p 01:09:00p
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2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17	referred to, and not to the paragraphs above? MR. BONELLA: Objection. Asked 01:06:11p and answered. 01:06:12p BY MR. SABER: 01:06:13p Q. Is that right? A. Yes, that's correct. Q. You don't say PTFE and PET in your note, do you, sir? A. No, I don't say PTFE in my note. Q. Or PET? A. No, I don't. O1:06:31p A. No, I don't. O1:06:32p Q. You'd say strength and lubricity, Correct? Is that correct? A. I say that it doesn't LIMIT, in capitals, strength and lubricity. Q. Now, earlier on in that note you say, may seem to teach away from ultra high molecular O1:06:51;	1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 166	MR. BONELLA: Objection. Asked and answered. THE WITNESS: The back part of the sentence is talking about the whole patent. BY MR. SABER: O1:08:28 Q. I just want to make sure I understand your testimony. The first part of the patent, '446 teachings are offsetting properties of yarn A with yarn B may seem to teach away from ultrahigh molecular weight PE, refers only to Column 4, Lines 41 to 59 — MR. BONELLA: Objection. Asked and answered. He already — BY MR. SABER: Q. — but the rest of the sentence refers to the patent in its entirety? MR. BONELLA: Objection. Asked	01:08:27p p 01:08:34p 01:08:35p 8:36p 01:08:38p 01:08:41p a 01:08:45p 01:08:50p 54p 01:08:59p 9:00p 01:09:00p :09:02p 01:09:04p
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UNITED STATES DISTRICT COURT

FOR THE DISTRICT OF MASSACHUSETTS

-0-

DEPUY MITEK, INC., a

Massachusetts Corporation,

Civil Action No.

: 04-12457 PBS

Plaintiff,

-vs-

ARTHREX, INC., a Delaware Corporation, and PEARSALLS : EXPERT DEPOSITION OF: LTD., a Private Limited

Company of the United :

Kingdom,

ROBERT T. BURKS, M.D.

Defendants.

Location:

Mariott University Hotel

Salt Lake City, Utah

Date:

June 7, 2006

3:00 p.m.

Reporter: Denise Kirk, CSR/RPR

- A. Yes.
- Also, if you'll allow me to finish the Q. 6 question before you answer, it will make for a better 7 transcript. Even though you may even be able to 8 anticipate the end of my question by what I say in the 9 beginning, if you'd allow me to finish and then answer 10 it will allow the reporter to make a clear transcript; 11 do you understand that?
- 12 A. I do.
- 13 Also, if I ask you a question and you O. 14 don't understand, I'll ask that you tell me you don't 15 understand the question. Otherwise, I'll assume that 16 you did understand the question; is that fair?
- 17 A. Fair.
- 18 Q. Are you being represented today by 19 counsel?
- 20 A. Yes.
- 21 Q. Who is your counsel?
- 22 Sal Tamburo. A.
- Q. Do you know when Sal or the law firm 23
- 24 Dickstein Shapiro Morin & Oshinsky began representing 25 you for purposes of this case?

- What is Exhibit Number 231? Q.
- 3 A. A subpoena for me.
- Q. Did you understand that be Exhibit 231 was 5 a subpoena on you for certain documents and things 6 listed in schedule A of Exhibit 231?
- Yes.
- Today are you producing any documents or Q. 9 things in response to the subpoena, Exhibit 231?
- A. No.
- 11 Q. If you could turn to page two of Exhibit 12 Number 231, please. Do you see request number one for 13 documents there, being all communications between any 14 of Arthrex, you, Dr. Mukherjee and Dickstein Shapiro 15 Morin & Oshinsky concerning the lawsuit commenced by 16 the plaintiff attached as Exhibit 1?
- 17 A. Yes.
- 18 Q. Did you perform any search that might be 19 responsive to request number one in Exhibit Number
- 21 A. Yes.
- 22 0. Did you find any?
- 23 A. No.

Request number two in Exhibit 231 is all 24 Q. 25 documents concerning this lawsuit, including, but not

- In February. A.
- Is that when Arthrex or Dickstein
- 3 contacted you with respect to your role in this case?
- A.
- Are you being compensated for the time you O. 6 spend on this lawsuit?
- 7 Α. Yes.
- 8 How are you being compensated? O.
- How much? 9 Α.
- 10 Yes. O.
- \$400 an hour. 11 A.
- 12 Was that a negotiated fee or was that your
- 13 standard fee for doing expert consulting?
- I don't really have a standard fee, so I
- 15 guess you could call it negotiated.
- Other than money, is there any other 17 compensation you are receiving for work on this case?
- A.
- Were you given any dollar amount that you 20 should not exceed in performing work for Arthrex in 21 this case?
- 22 Α.
- 23 I'm going to hand you DePuy Mitek Exhibit 24 231 and ask you if you recognize this document,
- 25 Exhibit 231?

- 1 limited -- well, hold on. Strike that.
- Did you perform a reasonable search for 3 documents in response to request number two in
- 4 Schedule A of Exhibit 231?
- A. I guess I don't see the difference. There 6 aren't any documents that I'm aware of in the lawsuit.
- Q. Under things to be produced on page two of
- 8 Exhibit Number 231, request number one is all tested
- 9 and untested samples referred to in Expert Report of 10 Robert T. Burks, MD dated March 24, 2006, including,
- 11 but not limited to suture A and suture B. Do you see
- 12 that?
- 13 A. I do.
- 14 Did you perform a search for things
- 15 responsive to request number one?
- 16 A. No.
- You did not? 17 0.
- I knew it didn't exist. 18
- 19 You knew what didn't exist? Ο.
- 20 A. The suture.
- 21 You mean the tested and untested samples? Q.
- 22 The pieces that I had I had disposed of
- 23 when I was done. I knew there wasn't anything to look 24 for.
- Under request number two on things to be

1 produced on page two of Exhibit 231 is all equipment

- 2 used to test the samples as described in paragraphs
- 3 nine through 13 of Expert Report of Robert T. Burks,
- 4 MD dated March 24, 2006, including, but not limited to
- 5 the equipment that was used to cut and wet the samples
- 6 and to conduct the tactile feel analysis and knot
- 7 tie-down analysis; do you see that?
- 8 A. I do.
- 9 Q. Did you perform a search for the materials 10 requested in request number 2?
- 11 A. No.
- 12 Q. Why not?
- 13 A. The equipment that was used was a pair of 14 scissors just to cut it, something from home, I felt
- 15 like it didn't have relevance.
- 16 Q. What about the solution that was used to
- 17 wet these tested samples?
- 18 A. I used tap water.
- 19 Q. Did you use anything else in performing
- 20 the tests described in paragraphs nine through 13 of
- 21 your expert report other than tap water and scissors 22 and the sutures?
- MR. TAMBURO: It might help if the witness 24 had his report in front of him to refer to.
- 25 A. The things used, like a pair of gloves,

- 1 Q. What about medical school?
- 2 A. '78.
- 3 O. Then, after medical school, where did you

12

13

4 go?

10

- 5 A. To residency training.
- 6 Q. When did you finish your residency
- 7 training?
- 8 A. '83
- 9 Q. Where was your residency training?
- 10 A. University of California San Diego.
- 11 Q. Did you have a specialty there?
- 12 A. Yes. Well, there's no specialty in
- 13 training per se, but I did do a fellowship during that
- 14 time with Dale Daniel at Kaiser Permanente.
- 15 Q. What was that fellowship in?
- 16 A. Knee and sports medicine.
- 17 Q. When did you finish your fellowship in
- 18 knee and sports medicine?
- 19 A. '83.
- 20 Q. Other than those programs or degrees you
- 21 mentioned, are there any other -- is there any other
- 22 formal education that you've gone through?
- 23 A. No

11

- 24 Q. Once you completed your fellowship in knee
- 25 and sports medicine in 1983, what did you do?

1 are disposed of after and they're just a generic set.

- 2 There wasn't anything used that would be unique that I
- 3 felt would be worthwhile to produce.
- 4 Q. So you used gloves when you performed the 5 tactile feel analysis and knot tie-down analysis?
- 6 A. I did both. I used and didn't use gloves.
- 7 Q. Is there any reason why you decided not to 8 bring gloves today?
- 9 A. No.
- 10 Q. Did your counsel advise you to bring
- 11 gloves?
- 12 A. No.13 Q. Did you go over -- did you have a chance
- 14 to go over Exhibit 231 with your counsel before coming
- 15 to today's deposition?
- 16 A. Yes, we looked at it.
- 17 Q. Dr. Burks, could you please describe your
- 18 formal education post-high school for me, please.
- 19 A. I did medical school at St. Louis
- 20 university. I guess after high school I did college
- 21 at Southern Methodist University, medical school at
- 22 St. Louis university, orthopedic training at
- 23 University of California San Diego.
- 24 Q. When did you graduate from undergrad?
- 25 A. Undergrad college was '74.

- 1 A. I went into private practice in St. Louis, 2 Missouri.
- 3 Q. What was the focus of your private 4 practice in St. Louis?
- 5 A. Sports medicine, general orthopedics.
- 6 Q. Did you focus on any particular parts of 7 the body within sports medicine and general 8 orthopedics?
- 9 A. Knee and shoulder were the big focus.
- 10 Q. And when did you leave private practice in 11 St. Louis?
- 12 A. I was there three years; I believe it was 13 '86.
- 14 Q. Then what did you do in 1986?
- 15 A. I went to Wayne State University in 16 Detroit.
- 17 Q. What did you do at Wayne State?
- 18 A. I was on the academic staff there and was 19 the head of sports medicine.
- 20 Q. Your time spent at Wayne State, was that 21 strictly in an academic environment or did that also 22 include a clinical practice?
- 23 A. Yes. I mean, it was a clinical practice, 24 but it was as a full-time faculty member.
- 25 Q. Can you explain how that works, your role

1 at Wayne State, how it was spent between full-time 2 faculty member and participating in a clinical 3 practice?

4 A. Well, there's really no distinction. I 5 mean, my job was to take care of patients and people. 6 And so the education was for residents and that's what 7 they were training to do was take care of people.

8 So there really wasn't a distinction 9 between a clinical practice and what you are doing 10 academically as far as your work goes.

- 11 Q. So did you teach in a classroom setting?
- 12 A. No.
- 13 Q. So I think I understand. What type of 14 medicine did you practice at Wayne State as a 15 full-time faculty member and in a clinical practice?
- 16 A. It was orthopedic surgery with an emphasis 17 in sports medicine.
- 18 Q. Again, did you focus on the knee and 19 shoulder areas?
- 20 A. Yes.
- 21 Q. When you were at Wayne State what were the 22 -- generally what were the procedures that you would 23 perform for shoulder surgeries?
- 24 A. Perform shoulder instability operations, 25 rotator cuff operations, things that we do for what we

1 Q. In 1988 after leaving Wayne State, what 2 did you do?

16

- A. I came here to the University of Utah.
- 4 Q. What position did you enter the University 5 of Utah in 1988?
- 6 A. I was an assistant professor in orthopedic 7 surgery. And we didn't really have a true division, 8 but I was part of the sports medicine team.
- 9 Q. Can you generally describe your duties as 10 an assistant professor in the orthopedic surgery 11 department at the University of Utah?
- 12 A. Duties were to take care of standard 13 patients that we would see, to instruct residents in 14 clinical evaluation of patients and surgical treatment 15 of patients, to be involved in some areas of research 16 and produce academically, and were involved with 17 taking care of the athletic teams.
- 18 Q. While at the University of Utah, I take it 19 from 1988 to the present you've remained at the 20 University of Utah?
- 21 A. Yes.
- 22 Q. From 1988 to the present, do you perform 23 any classroom teaching?
- 24 A. Minimally. Occasionally it comes up, but 25 not very much.

1 call impingement, shoulder pain procedures, procedures 2 that revolve around the clavicle.

- 3 O. Anything else you can think of?
- 4 A. I mean, it's a pretty wide area, but those 5 are the main things.
- 6 Q. What about when you were at Wayne State, 7 what were the procedures that you would perform for 8 knee surgeries?
- 9 A. Ligament reconstructions, operations for 10 instability of the knee cap, cartilage procedures, 11 meniscus procedures.
- 12 Q. When you were at Wayne State, did you 13 perform any ankle surgeries?
- 14 A. Sure.
- 15 Q. What ankle surgeries? What procedures 16 would you perform doing ankle surgeries?
- 17 A. The main procedures revolved around 18 arthroscopy, and then I would do some procedures that 19 revolved around loose ankle joints where people have 20 chronic ankle sprains and tightening those up.
- 21 Q. Then, I take it, at some point you left 22 Wayne State?
- 23 A. Correct.
- 24 O. What year was that?
- 25 A. '88.

- 1 Q. What classes would you teach when it comes 2 up?
- 3 A. It's usually just an isolated lecture, not 4 like a class series. So it would be lectures to the 5 residents or to medical students on a specific topic, 6 sometimes to physical therapy students.
- 7 Q. Since 1988, how have your duties and 8 responsibilities at the University of Utah changed?
- 9 A. I don't think they've changed much.
- 10 Q. Okay. At some point you did become head 11 of the sports medicine division, though, right?
- 12 A. Correct.
- 13 Q. Do you know when that happened?
- 14 A. I'd be guessing a little. I'm not sure of 15 the exact year.
- 16 Q. How about 1992, does that sound familiar?
- 17 A. That's probably close.
- 18 Q. Dr. Burks, I'm going to hand you Exhibit 19 Number 233. This is a printout of a web page from the 20 University of Utah. If you could just please look at 21 that.
- 22 MR. TAMBURO: Do you have another copy?
- 23 Q. No. Just let me know if that's generally 24 accurate.
- 25 A. Yes.

- 1 Q. Dr. Burks, can you describe for me your 2 relationship with Arthrex, Inc.?
- 3 MR. TAMBURO: Objection, vague.
- 4 A. I am a consumer. Over the years I have 5 been an advisor for different products. That's it.
- 6 Q. You say you are a consumer of Arthrex 7 products. What Arthrex products do you use?
- 8 A. Well, we use things like drill guides, use 9 suture anchors and sutures, drill bits. That's it.
- 10 Q. Do you use any Arthrex knee fixation 11 devices?
- 12 A. I have used Arthrex knee fixation devices 13 but don't currently use any.
- 14 Q. What did you use?
- 15 A. They have an interference screw that is 16 metal and one that is absorbable that I used to use 17 that I don't use now.
- 18 Q. Earlier you said things like we used 19 things like drill guides, suture anchors, and sutures, 20 drill bits. Who were you referring to when you said 21 "we"?
- 22 A. I guess it was the generic "we" of the 23 sports medicine service.
- 24 Q. Do you personally use those Arthrex 25 products?

- 1 Q. Other than royalties and other than money
 2 for your work you've performed in this lawsuit, do you
 3 receive any other money from Arthrex?
- 4 A. No.
- 5 Q. How many different pieces of Arthrex 6 equipment to you receive royalties on?
- 7 A. There is a knee ligament guide system that 8 has a few different pieces in it. So I can't give an 9 exact number. It's sort of a guide system with four 10 or five different pieces, parts of it.
- 11 There is a screw that we use for 12 augmenting ligament fixation that I get some royalties 13 on along with those guides.
- 14 Q. Do you know what the trade name is for the 15 knee ligament guides that you receive royalties from 16 Arthrex on?
- 17 A. It's kind of silly that I wouldn't be able 18 to give you that. It's for posterior cruciate 19 ligament reconstruction.
- 20 Q. And do you know what the trade name is on 21 the screw that you receive royalties from Arthrex on?
- 22 A. I don't.
- 23 Q. For what area of the body is this screw 24 used on?
- 25 A. It could be used anywhere, but I think the

- 1 A. Oh, yes.
- 2 Q. Do you have any consulting agreements with 3 Arthrex?
- 4 A. To be honest, I'm not sure of the direct 5 answer to give you on that. I have a couple of pieces 6 of equipment that I have worked with them on in 7 developing, so that might be considered a consulting 8 agreement.
- 9 I'm not a consultant, just a generic like 10 on a board of advisors or something like that.
- 11 Q. I don't understand when you say "I have a 12 couple of pieces I equipment I worked with them on in 13 developing so that might be considered a consulting 14 agreement", could you explain that?
- 15 A. Well, I went to them to develop a guide 16 for a knee ligament reconstruction. They liked the 17 idea. They made the guide. They have the guide as one 18 of the products that they sell, and then I get some 19 royalty from their sales.
- 20 Q. Okay. So other than services you performed 21 for this case, have you received money from Arthrex 22 for other services such as, for example, this work you 23 did with the guide?
- 24 A. I think I just said I get royalties for 25 that.

- 1 large majority would be at the knee.
- Q. Are you the named inventor on any patents?

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3 A. No.

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- 4 Q. The screw that you developed with Arthrex, 5 is that used for the ACL or PCL?
- 6 A. Can be either.
- 7 Q. Is that an interference screw?
- 8 A. No. It's a screw we typically refer to as 9 a post. And what that means is that suture from a 10 ligament or tendon gets tied around this to help hold 11 it while it's healing in.
- 12 Q. You also said, in describing your 13 relationship with Arthrex, you used the word 14 "advisor". We've just been talking about you 15 developing certain equipment. Is that what you meant 16 by advisor?
- 17 A. Yes.
- 18 Q. Do you advise Arthrex in any other way 19 other than what we've just talked about with respect 20 to developing equipment?
- 21 A. No.
- 22 Q. Do you know Dr. Paul Fenton from Toledo, 23 Ohio?
- 24 A. I don't.
- 25 Q. What about Dr. Marlow Goebel?

- 1 A. Poor wording. I guess it was to say that 2 my sense of how FiberWire works and handles, that 3 subjective feel of that is in that environment.
- 4 Q. So you don't use FiberWire in any 5 non-surgical environment, do you?
- 6 A. Well, I've used FiberWire in laboratory 7 studies when we do cadaveric studies or other things. 8 But I don't use it for non-medically related things.
- 9 Q. When you say "most of my subjective use of 10 FiberWire occurs during surgery", were you referring 11 to the surgical environment versus non-surgical 12 environment like you just described?
- 13 A. Right.
- 14 Q. Then you say "FiberWire is generally wet 15 in the surgical environment", what does that mean?
- 16 A. Well, in the environment where I work 17 arthroscopically we work with fluids, so it's hard for 18 a suture not to be wet.
- Obviously, there are times where we work 20 in a dry air environment and the suture may get wet 21 passing through tissue, but it's not necessarily
- 22 intentionally wetted like it is with arthroscopy.
- 23 Q. During surgery, do you wet FiberWire 24 before is it's introduced into the body?
- 25 A. Not deliberately, no.

- 1 determines whether you wear gloves?
 - 2 A. In a nonsurgical environment it would be 3 protection for me.
 - 4 O. Okay. Protection from what?
 - 5 A. Well, if we do cadaveric surgery some 6 cadavers have diseases so we may want to have gloves 7 on when we work with them.
 - 8 Q. What about in the laboratory environment, 9 when you are using FiberWire, do you wear gloves?
 - 10 A. I guess it depends on what you mean by the 11 laboratory environment.
- 12 Q. By laboratory environment, I mean anything 13 other than a surgical or nonsurgical environment like 14 we've been talking about.
- 15 A. Well, we do, for example, cadaveric 16 surgery in the laboratory, so we would consider that a 17 laboratory environment, and I would use gloves for 18 self-protection in that setting.
- 19 Q. Let me ask you a better question. Outside 20 of a surgical environment or nonsurgical environment, 21 do you wear gloves when using FiberWire?
- 22 A. I guess I would say no.
- 23 Q. Dr. Burks, if you could turn in Exhibit 24 232 to paragraph eight, you state: "Sometime in 25 February 2006 I was contacted by attorneys for
- 1 Q. Earlier you said the suture may get wet 2 passing through tissue, but it's not necessarily 3 intentionally like it is with arthroscopy. I don't 4 know what that means.
- 5 A. In an arthroscopic environment we have a 6 microscope in a joint and we distend the joint so we 7 can see with fluid.
- 8 So any time we introduce suture into that 9 environment it's under water, if you will. So no 10 matter what we do with it, by the time we start to use 11 it, it's wet.
- 12 Q. When using FiberWire in a surgical 13 environment, do you always wear gloves?
- 14 A. Yes.
- 15 Q. What about in the -- let me rephrase the 16 question. In a nonsurgical environment, do you always 17 wear gloves when using FiberWire?
- 18 A. No.
- 19 Q. What determines whether you wear gloves?
- 20 A. Either sterility for a patient or
- 21 protection for myself.
- 22 Q. If it's a nonsurgical environment, how
- 23 does sterility of the patient matter?
- 24 A. It doesn't.
- 25 Q. In a nonsurgical environment, what

- 1 Arthrex, Inc., and asked to conduct a tactile feel 2 analysis as well as a knot tie-down analysis of coated 3 and uncoated FiberWire suture. I agreed to conduct the 4 analysis." Do you see that?
- 5 A. I do.

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- 6 O. Who contacted you in February of 2006?
- 7 A. Sal Tamburo.
- 8 Q. Anyone else?
- 9 A. No
- 10 Q. Do you remember the substance of the 11 conversation you had with Sal in February of 2006?
- 12 A. Yes
- 13 Q. What was that substance?
- 14 A. He said that Arthrex and more, in
 15 particular, FiberWire was involved in a patent
 16 infringement lawsuit and he was wondering, since I've
 17 had experience of using FiberWire, if I would be
 18 willing to talk about FiberWire and how its used,
 19 etc., and if I'd be willing to look at FiberWire in a
 20 couple of different states and give him feedback on
 21 what I thought about that.
- 22 Q. What were those couple different states?
- 23 A. My understanding was that it was a coated 24 suture and a not-coated suture.
- 25 Q. Anything else?

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- 7 Q. So in your report, Exhibit 232, are you 8 making two conclusions based on a conclusion of the 9 tactile feel analysis and a conclusion based on the 10 knot tie-down analysis?
- 11 A. I'll try to clarify again. A knot tie-down 12 analysis I view as having a tactile aspect to it as 13 well, you are feeling the suture as you tie it. So I 14 don't view them as totally isolated.
- 15 Q. Okay. So how many analyses did you 16 perform as reflected in Exhibit 232?
- 17 A. I used all the strands and tied multiple 18 knots on all the strands. So I'm not, I guess, quite 19 sure -- I can't tell you I did 20 knots on each strand 20 or 30, but they were each used for multiple knot 21 tying.
- Q. My question might have been unclear. Not 23 how many times did you perform the analysis, but how 24 many different analyses did you do in coming to the 25 conclusions as expressed in Exhibit Number 232?

1 A. I tried to try knots partly with gloves to 2 see if I felt that there was a difference and partly 3 without gloves to see if I could feel a difference.

4 Q. Did using gloves in the tests in Exhibit 5 232 affect your ability to distinguish between suture 6 A and suture B?

- 7 A. I think, clearly, using gloves makes the 8 feel of the suture a little different. I guess I can't 9 answer directly to say if it makes the difference but, 10 yes, it probably makes a difference.
- 11 O. What difference does it make?
- 12 A. You are covering your skin with the 13 gloves, so, you know, as you feel suture, your 14 absolute sensation of the suture probably changes 15 some.
- 16 Q. Could you have reached the same17 conclusions you reached in Exhibit 232 if you solely18 used gloves in performing the tests?
- 19 A. I didn't do it that way, so I guess I 20 can't answer that and say yes or no.
- 21 Q. Did not using gloves help you to 22 distinguish between suture A and suture B?
- 23 A. Potentially, yes.
- 24 Q. Did it or -- I'm asking you if, in fact, 25 it did?

MR. TAMBURO: Objection, vague.

- 2 A. I felt the suture and I tied knots with 3 the suture.
- 4 Q. But earlier you testified that that's all 5 encompassed in the knot tie-down analysis. So I'm 6 wondering did you do a knot tie-down analysis and 7 that's it and that had two subparts or two different 8 analyses and then come up with a conclusion -- come up 9 with two different conclusions?
- 10 MR. TAMBURO: Objection, mischaracterizes 11 the testimony.
- 12 A. Again, I'm not trying to characterize in 13 this that these are segregated separate tests, but 14 this was a tactile feel and knot tying. It was a 15 length subjective feel on both of those.
- 16 So when you tie knots, you get a tactile 17 feel. So I was making the statement that on the 18 tactile feel, how it feels to me, it felt this way and 19 when I tied knots, it also felt that way. It's 20 sometimes hard to do one without doing the other.
- 21 Q. When you were doing -- when you did the 22 tactile feel analysis and the knot tie-down analysis 23 as expressed in Exhibit 232 were you wearing gloves?
- 24 A. Not always.
- 25 Q. Can you explain the breakdown?

- 1 A. And I'm telling you my answer is it 2 potentially did.
- 3 Q. I don't think I understand that. How could 4 it potentially? I mean either it did or didn't, 5 right?
- 6 A. No.

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- 7 MR. TAMBURO: Objection, argumentative.
- 8 O. Why do you say "potentially"?
- 9 A. I'm trying to be honest. I did feel
- 10 without gloves and I know there's a pile A and a pile 11 B, so there is potential that feeling suture without 12 gloves made me feel that A was a little different than 13 B that had I been gloved the entire time, I might not 14 have detected.
- 15 Q. So from start to finish then after you cut 16 the suture samples until the time you made your 17 conclusions expressed in Exhibit Number 232, how long 18 was that?
- 19 A. I'll give you the same answer: 45 minutes 20 or so.
- 21 Q. So the 45 minutes encompassed roughly ten 22 minutes you spent on the tactile feel analysis?
- 23 A. No.
- 24 Q. So 45 minutes plus ten minutes or just 45 25 minutes?

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19 (Pages 70 to 73)

1 it was my overall take from looking at them.

- 2 O. Do you remember how many -- strike that.
- Does a suture that has less friction when sliding that knot mean that the suture has better knot tie-down performance?
- 6 A. Not necessarily.
- 7 Q. Why?
- 8 A. Well, if you envision a perfectly smooth 9 suture, for example, if you slide a knot it might 10 slide very easily but it might also tend to not hold 11 as well because there's not as much inherent friction 12 in it.
- 13 Q. Does a smoother suture mean it has better 14 tactile feel than a suture that is not as smooth?
- 15 A. I would say no, I don't know that I'd say 16 it's a better tactile feel.
- 17 Q. Why did you use a surgeon's knot when you 18 did the knot tie-down analysis in Exhibit 232?
- 19 A. I think what I would do is say that -20 again, maybe my critique of the verbiage would be at
 21 fault. So I guess I wouldn't -- you know, we talked
 22 earlier about what a surgeon's knot is.
- 23 Q. Uh-huh?
- 24 A. And I probably didn't focus on it enough 25 to say that they're not necessarily surgeons' knots as

- 1 Q. But were there any where you couldn't tell 2 a difference? I mean, it was pretty close?
- 3 A. Sure, it was pretty close.
- 4 Q. Let me rephrase. Were there any where you 5 couldn't tell the difference between suture A and 6 suture B?
- 7 MR. TAMBURO: Objection, asked and 8 answered.
- 9 A. I don't remember specifically having ones 10 that I would say I clearly feel a difference on this 11 one and I clearly don't on the next one. It was a 12 general feel of all of them.
- 13 Q. Dr. Burks, how would you describe your 14 relationship with Ethicon?
- 15 A. I guess none.
- 16 Q. None? So you would say that you have a 17 closer relationship with Arthrex?
- 18 A. Yes.
- 19 Q. What about could you describe your 20 relationship with DePuy Mitek?
- 21 A. I have been a consultant with DePuy Mitek.
 22 Just this week I was helping on an educational course
 23 for DePuy Mitek reps. But I've had no product or
 24 anything like that with DePuy Mitek.
 - 5 Q. You mean development product work?

1 I described them.

- 2 Q. Okay, so why did you use the particular 3 knots, then, that you used in the knot tie-down 4 analysis?
- 5 A. I just tried to reproduce what I do in the 6 operating room.
- 7 Q. In paragraph 11 in Exhibit 232 you state 8 that suture A generally felt smoother than suture B. 9 What do you mean by "generally"?
- 10 A. The differences between the sutures were 11 subtle. I mean, they were not sharp, distinct. So I'm 12 meaning that in comparing them, my take was that it 13 was generally smoother.
- 14 Q. Were there any of the sutures in the 15 tactile feel analysis where you couldn't tell the 16 difference between suture A and suture B?
- 17 A. It was not my intent at the time in 18 looking at the sutures to compare each strand side to 19 side. My intent was to look at sort of spool A and 20 spool B. So it was to get a feel of, in general, how 21 do they feel between the two.
- 22 So I didn't take a strand and say is this 23 one different? And is this one different? And go 24 down through that five times, because I felt it was 25 all the same suture.

1 A. Yes.

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- Q. What was the educational course this last 3 week that you helped with DePuy Mitek?
- 4 A. It was educating reps who go into the 5 operating room and, you know, are helping surgeons 6 with their materials, sutures, implants, what not, and 7 how to handle the operating room environment, be 8 appropriate and be helpful.
- 9 Q. The course was not on a particular DePuy 10 Mitek technique or anything like that, it was --
- 11 A. It was not focused on a particular product 12 but it was focused on helping reps better sell DePuy 13 Mitek products.
- 14 Q. By being more professional in the 15 operating room?
- 16 A. Correct.
- 17 Q. Is this the first time you have done that 18 for DePuy Mitek?
- 19 A. This is the second.
- 20 Q. Other than those two courses, have you 21 consulted with DePuy Mitek in any other courses?
- 22 A. Yes.
- 23 O. What are those?
- A. There was an educational course in Chicagoand you are going to say when and I'm going to guess

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23 (Pages 86 to 89)

1 four years ago. It was a cadaver course where they

- 2 were doing DePuy Mitek products and they asked me to
- 3 come give a couple of talks and help in the lab using
- 4 those products with the doctors who were there.
- 5 Q. Do you remember what those products were?
- 6 A. Not specifically. They were suture
- 7 anchors, suture passing instruments, but I don't
- 8 remember a specific product.
- 9 Q. Are you a consumer of DePuy Mitek 10 products?
- 11 A. Sure.
- 12 Q. What DePuy Mitek products do you use?
- 13 A. Well, I mentioned earlier I use OrthoCord.
- 14 I use some DePuy Mitek anchors. They make an electric
- 15 cautery unit that we use, in every case we use 16 electric cautery.
- 17 They have some suture-passing instruments
- 18 that we use. I use one of their drill guides and
- 19 fixation sets for ACL surgery.
- 20 Q. When you do an ACL fixation, what product 21 do you use?
- 22 A. It depends on the type of ACL that we're
- 23 doing. If I use a bone/tendon/bone graft which is a
- 24 common graft, on the femoral side, I fix it with a
- 25 DePuy Mitek device which is a couple of absorbable

- 1 manufacturing state that those sutures have gone
 - 2 through. And I'm wondering if you can look at those,
 - 2 (modgii, And Till wondering it you can look at those,
 - 3 analyze them, do whatever you have to do, but tell me

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- 4 which ones are coated and which ones are not coated, 5 if any?
- 6 A. So these are three separate types of 7 suture?
- 8 Q. They're three different sutures. Well, 9 I'm going to take that back. I don't know if they're 10 three different sutures.
- 11 MR. TAMBURO: You are not sure what they 12 are.
- 13 MR. FALKE: We know what they are, yeah. I 14 mean, based on Pearsalls' representations of what they 15 are. If you need to cut them and get you a glass of 16 water, if you want to wet them.
- 17 MR. TAMBURO: Are they in the same form in 18 which they were produced?
- 19 MR. FALKE: Yes, we did not alter them.
- 20 MR. TAMBURO: Do we have Bates numbers?
- 21 Q. Slow down. Just for the record, so the
- 22 record is clear, what did you just do, Dr. Burks?
- 23 A. I just opened the suture that was in the 24 bag.
- 25 Q. What Exhibit Number is that?

1 pins, and on the tibial side I fix it with either a

- 2 DePuy Mitek screw or a screw from a different company
- 3 depending on upon quality.
- 4 On the hamstring, I typically on the
- 5 femoral side use a Smith and Nephew product --
- 6 Q. EndoButton?
- 7 A. EndoButton. On the tibial side I
- 8 typically use a Milagro screw and frequently for the 9 post use that Arthrex screw.
- 10 Q. When you say hamstring, that's soft
- 11 tissue?
- 12 A. Correct.
- 13 Q. Semitendonosis?
- 14 A. Very good.
- MR. TAMBURO: We're all half doctors here.
- 16 MR. FALKE: Let's take a break.
- 17 THE VIDEOGRAPHER: Off the record, 5:54.
- 18 (Brief recess.)
- 19 THE VIDEOGRAPHER: On the record, 6:02.
- 20 Q. (By Mr. Falke) Dr. Burks, I'm going to
- 21 hand you DePuy Mitek Exhibit 286, DePuy Mitek Exhibit
- 22 284 and DePuy Mitek 285. These are FiberWire samples
- 23 that were produced to us from Pearsalls who is a
- 24 company that makes FiberWire for Arthrex.
- 25 I covered up on those exhibits the

- 91 1 A. That is 286.
 - 2 Q. You cut a piece off of the suture in
 - 3 Exhibit 286?
 - 4 A. Right.
 - 5 Q. And --
 - 6 MR. TAMBURO: There's no Bates numbers on 7 there?
 - 7 these?
 - 8 MR. FALKE: There were no Bates numbers.
 - 9 Q. Would you put that on the suture you cut
 - 10 from Exhibit 286 and mark with a pen Exhibit 286.
 - 11 Now, can you explain what you are doing now, Dr.
 - 12 Burks? First, can you put the suture that you took out
 - 13 of 286 back in the bag?
 - 14 A. (Witness complies.)
 - 15 Q. Thank you, and then proceed. Can you
 - 16 explain for the record what you are doing now?
 - 17 A. I'm opening 285.
 - 18 Q. You are cutting suture sample from Exhibit 19 285, right?
 - 20 A. Yes.
 - 21 Q. Could you please mark with the tape
 - 22 Exhibit 285 that you've cut? Proceed. Can you state
 - 23 what for the record what you are doing now?24 A. Fm opening number 284.
 - 25 Q. And cutting a suture from Exhibit 284?

1 A. Yes.

Q. And now you are going to mark the suture 3 sample that you took from Exhibit 284 with a flag?

A. Correct.

5 Q. Can you hand me the original sample sets 6 back?

7 A. (Witness complies.)

8 Q. Also, I'm going to hand you DePuy Mitek
9 Exhibit 234 which is a chart I'd like you to fill out
10 if you could, please, and under the suture column put
11 the numbers corresponding to the suture samples you've

12 just cut, just 284, 285 and 286?

13 A. Fair enough?

14 Q. Fair enough.

15 A. Have we got a while?

16 O. However long it takes you.

17 MR. TAMBURO: Are you representing that 18 one of them is coated, one of them is not coated?

19 MR. FALKE: I'm not making any

20 representations. They could all be coated, they could

21 all be uncoated, could be a mix?

22 A. Can I use your notebook?

23 Q. Of course. What do you need?

24 A. I was going to use one of those metal

25 rings.

1 Q. And 286? Can you explain for the record 2 please what you are doing now, Dr. Burks?

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3 A. I'm tying 284.

4 (Discussion off the record.)

5 A. Okay. So where is my little sheet here?

6 Q. Based on what you've done so far, Dr.

7 Burks, can you tell any difference between the 8 sutures?

9 A. I feel like I do feel a difference.

10 Q. Okay. How would you describe that 11 difference?

12 A. Well, I would say at the moment 285 seems 13 a little smoother to me than 284. So I would say 285 14 is coated and 284 isn't coated.

5 Q. How sure are you of that? *

16 A. I would not put my children's lives on it,

17 but given the subjective feel.

18 Q. Is it a subtle difference?

19 A. It's a subtle difference.

20 Q. Can you explain, Dr. Burks, what you are

21 doing now?

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22 A. Just throwing knots. I would say 286 seems

23 coated as well.

24 Q. If you had gloves on right now, would that 25 change the confidence level you have in determining

1 Q. Sure. First, can you do a tactile feel 2 analysis on it? Can you tell the difference?

3 A. Kind of -- like I said, when you tie knots 4 you combine that together.

5 Q. Can you explain what you are doing now?

6 A. I don't want to knock your little deal 7 off, you know? I'm just getting a sense for how it 8 slides and trying to put down a couple of throws.

9 O. Which Exhibit Number are you working on?

10 A. I'm on 285.

11 Q. Okay. What type of knots are you throwing?

12 A. Half hitches.

13 Q. Now, can you explain what you are doing, 14 Dr. Burks?

15 A. Same thing.

16 Q. With which exhibit?

17 A. 286.

18 Q. Are you doing the same thing you did with 19 the previous one?

20 A. Yes.

21 O. Same knot configurations?

22 A. Uh-huh.

23 O. Can you tell a difference between the

24 first two sutures, Dr. Burks, Exhibit 285 and --

25 A. 286.

1 whether those are coated or uncoated sutures?

2 MR. TAMBURO: Objection, calls for 3 speculation.

4 A. I think gloves can make a difference, 5 yeah.

6 Q. How do they make a difference? The 7 difference between the sutures is more subtle, right, 8 with gloves because you don't have the contact like 9 you described earlier with the skin?

10 A. Yeah. Again, this is obviously a very
11 subjective feel test. Some of that feel comes from how
12 the suture feels and some of it comes from how you
13 feel when you slide a knot. So we're not talking rocks
14 and water as far as differences and so. . .

15 Q. How would you qualify the difference that 16 you just observed, based on your test?

17 A. When you say "qualify" are you asking for 18 like an amount?

19 Q. How would you characterize the difference 20 between the sutures?

A. Well the difference is, I think, subtle 22 and there's no doubt in my mind that I could line up, 23 you know, a hundred sutures and have error where I 24 would say, you know, I think this one is one way or 25 the other and make a mistake.

25 (Pages 94 to 97)

98	100		
1 So there's certainly not enough difference	1 Deponent's Certificate		
2 to clearly say that I know every time exactly how that	2		
3 feels.	3 I, ROBERT T. BURKS, M.D., deponent herein, 4 do hereby certify and declare the within and foregoing		
4 Q. Okay. Could you just initial, please, the	5 transcription to be my deposition in said action taken		
5 chart that you did?	6 on June 7, 2006; that I have read, corrected, and do		
6 A. This right here?	7 hereby affix my signature to said deposition.		
7 Q. Yes.	8 9 DATED this day of,		
8 A. Okay.	10 2006.		
9 Q. And put the date.	11		
10 A. (Witness complies.)			
11 Q. Okay. For the record, I have to mark the	Deponent		
12 exhibits, the sutures that you tied onto my binder.	13		
13 Can you untie those?	14 STATE OF UTAH) ss.		
14 A. I can just open the binder.)		
15 Q. How confident were you that 286 was	15		
16 coated?	16 SUBSCRIBED AND SWORN to before me this 17, 2006.		
17 MR. TAMBURO: Objection, vague.	17 day of		
18 A. I guess I've said that differences are	19		
19 subtle. So I'm going by a subjective feel. So I feel	20 Notary Public residing in		
20 like there's a difference. Am I going to bet a lot of	21		
21 money on it? No, but that's my take.	My Commission Expires:		
22 MR. FALKE: Okay. For the record I'm	23		
23 going to mark the suture that Dr. Burks tested with			
24 Exhibit 235 I'm going to state that over again.	24 25		
25 For the record, I'm going to mark with	25		
90	101		
99 1 Exhibit 235 the suture Exhibit 284 that Dr. Burks just	101 1 Reporter's Certificate		
1 Exhibit 235 the suture Exhibit 284 that Dr. Burks just			
1	1 Reporter's Certificate 2 State of Utah) County of Salt Lake)		
1 Exhibit 235 the suture Exhibit 284 that Dr. Burks just 2 tested, and I'm going to mark Dr. Burks' tested suture	1 Reporter's Certificate 2 State of Utah) County of Salt Lake) 3		
1 Exhibit 235 the suture Exhibit 284 that Dr. Burks just 2 tested, and I'm going to mark Dr. Burks' tested suture 3 286 with DePuy Mitek Exhibit 236, and I'm going to	1 Reporter's Certificate 2 State of Utah) County of Salt Lake) 3 4 I, Denise Kirk, Certified Shorthand		
1 Exhibit 235 the suture Exhibit 284 that Dr. Burks just 2 tested, and I'm going to mark Dr. Burks' tested suture 3 286 with DePuy Mitek Exhibit 236, and I'm going to 4 mark Dr. Burks' tested suture 285 with DePuy Mitek	1 Reporter's Certificate 2 State of Utah) County of Salt Lake) 3 4 I, Denise Kirk, Certified Shorthand 5 Reporter, Registered Professional Reporter, and Notary		
1 Exhibit 235 the suture Exhibit 284 that Dr. Burks just 2 tested, and I'm going to mark Dr. Burks' tested suture 3 286 with DePuy Mitek Exhibit 236, and I'm going to 4 mark Dr. Burks' tested suture 285 with DePuy Mitek 5 Exhibit 237.	1 Reporter's Certificate 2 State of Utah) County of Salt Lake) 3 4 I, Denise Kirk, Certified Shorthand 5 Reporter, Registered Professional Reporter, and Notary 6 Public for the State of Utah, do hereby certify:		
1 Exhibit 235 the suture Exhibit 284 that Dr. Burks just 2 tested, and I'm going to mark Dr. Burks' tested suture 3 286 with DePuy Mitek Exhibit 236, and I'm going to 4 mark Dr. Burks' tested suture 285 with DePuy Mitek 5 Exhibit 237. 6 I have no further questions. 7 EXAMINATION 8 BY MR. TAMBURO:	1 Reporter's Certificate 2 State of Utah) County of Salt Lake) 3 4 I, Denise Kirk, Certified Shorthand 5 Reporter, Registered Professional Reporter, and Notary 6 Public for the State of Utah, do hereby certify: 7 THAT the foregoing proceedings were taken		
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Johnson Johnson

Office Of GENERAL COUNSEL NEW BRUNSWICK, N.J.

February 3, 1992

SUBJECT: ETH 782 - Entitled "Sterilized Heterogeneous Braids"

B. Schwartz

Barbara, I wanted to let you know that I have been unable to complete this application for filing. It relates to composite braid sutures.

I sent a substantially complete draft, including examples and drawings, to Mark Steckel for review and comment. I then received comments from Chuck Fritz, and I understand that Mark received comments from the remaining coinventors.

I left two voice mail messages for Mark during the first week of January, requesting that he call me to discuss changes to the draft. Dennis Jamiolkowski also requested Mark to contact me. Our requests have gone unanswered.

I'm very sorry that this seems to be a continuing problem. Unfortunately, there is nothing I can do without full cooperation from Mark.

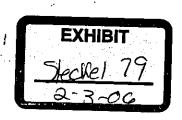
Matthew S. Goodwin

MSG/sc

cc: C. Fritz

CONFIDENTIAL - OUTSIDE ATTORNEYS EYES ONLY

DePuy Mitek, Inc. v. Arthrex, Inc. C.A. No.04-12457 PBS DMI095016



Case 1:04-cv-12457-PBS Document 106-37 Filed 04/06/2007 Page 19 of 27

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FEB 1 1 1992

MATTHEW S. GOODWIN

February 10, 1992

Mr. M. Steckel

oc: Mr. M. Banik

Mark, the attached memo has been of great concern to me. I would appreciate your responding to Matt Goodwin's request, and communicating to me your timing with respect to this response.

As far as I am concerned, the work involved is extremely important to the suture business, and given your history with this subject, requires your immediate attention. We are already long past due in filing this patent.

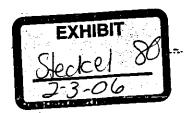
Please contact me as quickly as possible regarding this matter.

Barbara Schwartz, Ph.D.

pak

CONFIDENTIAL - OUTSIDE ATTORNEYS EYES ONLY

DePuy Mitek, Inc. v. Arthrex, Inc. C.A. No.04-12457 PBS DM1095017



Case 1:04-cv-12457-PBS Document 106-37 Filed 04/06/2007 Page 21 of 27

OSTROLENK, FABER, GERB & SOFFEN, LLP

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***CONNECTICUT BAR

Please reply to: WASHINGTON OFFICE 1725 K STREET, N. W. WASHINGTON, D.C. 20006 TEL 202 457 7785 FAX 202 429 8919

November 14, 2000

Mr. Don Grafton Arthrex, Inc. 2885 South Horseshoe Drive Naples, FL 34104

Re:

OFGS Ref: 3/1493-372

U.S. Patent No. 5,318,575 -- Infringement

Dear Don:

In accordance with your request, we conduct a study to determine if Arthrex's proposed PolyBlend suture (a suture with a reinforced jacket formed of polyester braided with Dyneema®, an ultra high strength polyethylene fiber) infringes U.S. Patent No. 5,318,575 issued to Chesterfield, et al., assigned to U.S. Surgical Corporation (the "U.S. Surgical patent").

Briefly, for the reasons set forth below, it is our opinion that Arthrex's PolyBlend suture, and the method of using the suture for surgical suturing, does not infringe the claims of the U.S. Surgical patent.

The U.S. Surgical Patent:

The U.S. Surgical patent has 12 claims, one of which is independent. Independent claim 1 recites a method of repairing split portions of body tissue. A flexible member (i.e., suture) is looped about the body tissue to hold the split portions together. The suture is made by braiding fibers of an ultra high molecular weight high tenacity material and fibers of another, nonabsorbable material.

The prosecution history of the U.S. Surgical patent reveals that the applicants submitted claims drawn to a surgical product comprising an elongated member (suture) formed of fibers of a ultra high molecular weight extended chain high tenacity material braided with fibers of

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OSTROLENK, FABER, GERB & SOFFEN, LLP

Mr. Don Grafton November 14, 2000 Page 2

a different material, the "different material" being defined (in some claims) as being non-absorbable. All of these claims were rejected by the Examiner as being unpatentable over U.S. Patent No. 4,819,458 to Kavesh et al. and U.S. Pat. No. 4,792,336 to Hlavacek et al. (copies enclosed). These claims ultimately were canceled by the Applicants. Accordingly, U.S. Surgical relinquished patent coverage of the braided surgical product, and opted to proceed solely with claims directed to the method of using the suture.

As issued, independent method claim 1 recites a method of repairing body tissue by looping the braided surgical product (as described above) "about" split portions of body tissue. Since the braided surgical product was determined to be unpatentable (and the applicant acquiesced in this determination by canceling the product claims), the patentable feature of method claim 1 resides in the step of <u>looping</u> the braided surgical product <u>about</u> the split portions of tissue.

The prosecution history of the U.S. Surgical patent precludes the claimed step of looping the braided surgical product about the split portions of tissue from being construed to include inserting the braided suture product through soft tissue. Significantly, the U.S. Surgical patent discloses the method of looping the suture about tissue (described at col. 4, lines 58 et seq. and shown in Fig. 1) and the method of inserting the surgical product through soft tissue (described at col. 5, lines 41 et seq.) as alternative embodiments. However, the latter embodiment was never claimed. Claims cannot be interpreted in a manner which "recaptures" subject matter which is disclosed in the specification but not claimed. In any event, insertion through soft tissue of a surgical product that contains high strength/modulus polyethylene is not patentable, since it is disclosed in a cited reference, U.S. Patent No. 4,987,665, issued to Dumican et al. (copy enclosed). See col. 6, lines 54-55, col. 13, lines 39-46, and Fig. 3 of Dumican et al. The claims of the U.S. Surgical patent cannot be construed to encompass subject matter in the prior art.

For the foregoing reasons, we conclude that Arthrex's proposed PolyBlend suture and its use in surgical suturing (inserting the suture through soft tissue) does not infringe the claims of the U.S. Surgical patent.

Very truly yours,

OSTROLENK, FABER, GERB & SOFFEN, LLP

Stephen A. Soffen -

SAS/PFM:tj Enclosure

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ARM 25129

Case 1:04-cv-12457-PBS Document 106-37 Filed 04/06/2007 Page 24 of 27

IN THE UNITED STATES DISTRICT COURT FOR THE DISTRICT OF MASSACHUSETTS

DePuy Mitek, Inc. a Massachusetts Corporation	
Plaintiff,	
v.	Civil Action No. 04-12457 PBS
Arthrex, Inc. a Delaware Corporation	
Defendant.)))

RESPONSIVE EXPERT REPORT OF DR. DEBI PRASAD MUKHERJEE CONCERNING NON-INFRINGEMENT OF U.S. PATENT NO. 5,314,446 AND OTHER MATTERS

Pursuant to the provisions of Rule 26(a)(2) of the Federal Rules of Civil

Procedure, the Joint Case Management Statement adopted by the Court on February 18,

2005, and agreement between the parties, the undersigned, Dr. Debi Prasad Mukherjee,
an expert witness for Defendants Arthrex, Inc. and Pearsalls, Limited (together,

"Defendants") hereby sets forth his responsive expert report concerning noninfringement and other matters as follows.

Further, when Arthrex and Pearsalls developed the FiberWire suture, Arthrex created an entirely new category of medical products called high-strength suture. Prior to FiberWire, there was no such product on the market. It is the UHMWPE that makes FiberWire so strong. As I previously mentioned, there is no indication at all within the '446 patent that a high-strength suture was even contemplated by the inventors. To the contrary, the inventors had conceded the fact that there was a tradeoff necessary in having a suture that had better handleability and pliability – that tradeoff was lower strength. That is why the specification repeatedly states that the object of the invention is to achieve better handleability and pliability without appreciably sacrificing physical characteristics, including most specifically, strength. Nowhere is there any description or teaching within the '446 patent that the resulting suture will have strength that is far superior to the prior art sutures identified in the patent. In my opinion, this is another substantial difference.

Putting it in terms of the function/way/result test, as did Dr. Brookstein, it is my opinion that the difference between the function performed by the UHMWPE in FiberWire is very different than that of the first fiber-forming materials of claim 1 of the '446 patent. As I stated above, the function performed by UHMWPE in FiberWire is to impart tremendous strength to the FiberWire suture, whereas the function performed by the first fiber-forming materials is to add lubricity with the recognition that these materials will detract from the strength of the resulting suture. For these same reasons,

Dated: March 24, 2006

Delo I: I Williams
Debi Prasad Mukherjee, Sc./D.